

Rapid Situational Assessment (NDC-RSA)

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| **GUIDANCE NOTE**  **Purpose:** This template is intended to provide context for the involvement of the NDC Partnership in supporting the country in implementing its Nationally Determined Contributions (NDCs) to the Paris Climate Agreement. Its primary use is as a scoping tool, to support the rapid identification of gaps in NDC implementation, which the country may seek to fill through international cooperation. This is not done for the purpose of seeking funding, but to (a) develop a common understanding of country’s plans, progress, challenges, and gaps in relation to NDC implementation; and (b) inform the NDC Partnership partners on possible areas of coordinated support that can be planned and executed. On the basis of information gathered in the RSA, NDC Partnership partners and the host government can agree upon the NDC Partnership Plan.  **Frequency:** First at the NDC Partnership launch (initial scoping stage), then again at the start of each planning cycle.  **Roles:** This template will be filled in by a Country Engagement Specialist of the Support Unit (SU) of the Partnership and/or embedded facilitator of the Partnership (if already in place during the scoping exercise). Information will be collected via consultations conducted with a range of interested Members of the Partnership, developing country officials, and other stakeholders − including, most notably, participants of the NDC Partnership Kick-off Workshop. Existing diagnostics from NDC Partnership Members and beyond can be a complementary source of information.  **Format:** This template consists of the following five sections:   1. Country Information 2. NDC Overview 3. Priority Problems and Needs 4. Stakeholder Response 5. Partnership Framework   Sections 1 and 2 contextualize the NDCs and engagement with the NDC Partnership, and describe the current environment in the country vis-à-vis their implementation.  Sections 3 and 4 identify key gaps and opportunities for engagement, by summarizing (a) the country’s NDC-related problems and prioritized needs from the government’s perspective; and (b) development and implementing partners’ response and consultations undertaken.  Section 5 provides information on possible intervention areas for support of NDC implementation and increased ambition. This section aims to bridge the RSA with the next step of developing a Partnership Plan. |

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| **COUNTRY:** |  | **DATE RSA COMPLETED:** |  |
| **PERSON FILLING THIS IN:** | Name and Title: | Contact Information: | |
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1. **Country Information**

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| * 1. NDC Partnership membership status | **Member Since:** | | | |
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| * 1. Contact person at the NDC Partnership Support Unit | **Name, Title:** | | **Contact Information (Email, Phone):** | |
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| **1.3.** Country Address Book | **Entity Name:** | **Name and title**  **of point person:** | | **Contact Information**  **(Email, Phone):** |
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| **1.4.** Government Focal Points (FPs) / Counterparts  *Insert additional rows, as needed.* | **Name(s), Title(s), Entity(ies):** | | **Contact Information (Email, Phone):** | |
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1. **NDC overview**

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|  | | **Adaptation** | **Mitigation** |
| **2.1.** Specific Adaption & Mitigation Goals  *\*Distinguish between adaptation and mitigation goals, where possible.* ***If not possible to disaggregate, then feel free to merge the cells.*** | Unconditional goals |  |  |
| Conditional goals  *\*Specify, where possible, volume of resource requirement.* |  |  |
| **2.2.** Priority sectors/sub-sectors coveredby the NDC | |  |  |
| **2.3.** Lead Ministry and other Coordinating Ministries,with a brief explanation of roles and responsibilities, where relevant.  *\*Highlight, where applicable, the government body that has been officially designated the responsible entity for the NDC.*  *\*Specify, if any of the entities are accredited by GCF or NDA of GCF.*  *\*Use 2.5 to provide more detail on Ministry of Finance/Planning* | |  | |
| **2.4** Describe the governance mechanism for NDCs within the government, if there is one.  *\*Specify if the mechanism is operational; if there are regular meetings; what level participants are at (working, Minister, DG, etc.).* | |  | |
| **2.5.** Explain the level of engagement by Ministry of Finance/Planning in NDC implementation, including whether an NDC financing strategy exists. | |  | |
| **2.6.** List the key relevant policy and strategy documents of the government, including NDC sectoral investment plans for the NDC as a whole, with a hyperlink to the document where possible. | |  | |
| **2**.**7.** Briefly explain the formulation status of the National Adaptation Plan (NAP). | |  | |
| **2.8.** Briefly describe the degree to which the contents of the NDC are integrated in the government’s primary documents and plans (i.e., mainstreamed) at the:   * National Level (i.e., through national development plan, budget circular, system to track climate expenditures and other climate finance flows) * Sectoral Level (i.e., through sector strategic plans); *Indicate to what extent the NDC reflects sectoral plans and to what degree does it show ambition? Are there any initiatives within sectoral plans that are not tagged as NDC-specific but should be?* * Sub-National Level (i.e., through district development plans) | |  | |
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| **2.9.** Briefly explain if there has been any effort to link NDCs with SDGs, for example, by using existing governance or institutional structures in place already for SDGs to implement NDCs. If so, explain the process in place. | |  | |
| **2.10.** Explain the country’s progress against commitments to date.  \**‘Commitments’ refers to all programs/projects/policies that come under climate action, thus broader than just NDCs by themselves. Please use Biannual Update Report (BUR) as key source of information, where available*  *\*Identify a minimum of 3 activities or outputs that are worthy of communicating externally.* | |  | |

1. **Priority Problems and Needs**

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| **3.1.** Explain the overall scope of challenges in the country -- both cross-cutting/general and sector-specific. Highlight those where government will need specific planning and implementation assistance.  \**Should include environmental, development, and macro-economic challenges.* | **General** | | | **Sector-specific** | | |
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| **3.2.** Briefly list the country’s core problems in adaptation and mitigation that must be addressed in order to achieve its NDCs. Please identify the top 3 in each category. | **Adaptation** | | | **Mitigation** | | |
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| **3.3.** Highlight the five main areas of support needed for national NDC-related processes. In line with section 3.1 and 3.2 above, this exercise could be completed after the request for support letter is received from the Government.  In an Annex, provide detailed rationale of each of the support areas requested with the following outline:   1. Need expressed 2. Challenges faced in relation to the need | **1. Policy, Strategy and Legal Framework**   * NDC prioritization exercise * NDC gap analysis * NDC implementation road maps/timelines * Alignment of NDCs with SDGs * Long term climate strategies and vision * NDC mainstreaming at the national and/or subnational level * NDC revision * Legal framework * Policy analysis/support (cost-benefit analysis; vulnerability assessments) * Status of fiscal policy/market readiness * Governance and coordination mechanisms | | | | | |
| 1. **Budgeting and Investment Framework**  * Fiscal and macro-economic projections * Costing commitments and implementation pathways of NDCs * MTEF, Public Investment Programming (PIP), Investment plans (needs vs. availability of resources) * Developing pipelines of bankable projects * Climate change fund establishment * Raising/combining climate finance * Blending/delivering climate finance * Private sector investments mobilization * Up-scaling business solutions * Partnering with cornerstone investors * Climate finance tracking and expenditure reviews | | | | | |
| 1. **Monitoring and Evaluation (M&E) Framework**  * Data gap analysis * M&E Frameworks/Results Chains * Setting indicators and targets * Data collection and management * Measurement, Reporting and Verification (MRV) of emissions * MRV of mitigation actions * MRV of financial and technical support | | | | | |
| 1. **Capacity Building and Lessons Learning**  * Training needs in any of the above areas/sections * Feasibility studies (social, technical, economic) * Human capacity increase * Tools/guidelines * South-South learning * Knowledge sharing * Communications * Gender mainstreaming | | | | | |
| 1. **Cross-cutting / Others**  * Any other areas not reflected above (please specify here) | | | | | |
| **3.4.** Describe in more detail the specific needs identified above (in 3.3), highlighting any major capacity gaps and other critical issues vis-à-vis NDC implementation.  *\*Include any relevant outcomes from the Kick-off Workshop.* | **Policy, Strategy and Legal Framework** | **Budgeting and Investment Framework** | **Monitoring and Evaluation (M&E) Framework** | | **Capacity Building and Lessons Learning** | **Cross-cutting / Others** |
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**4. Stakeholder Response**

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| **4.1.** Describe the key stakeholders that have a critical interest/role in NDC implementation:  *\*Describe any planned approaches to engaging these stakeholders during the delivery stage.* | key government counterparts |  |
| other relevant government agencies |  |
| private sector and private financial institutions |  |
| civil society groups |  |
| women’s  groups |  |
| other development organizations |  |
| **4.2.** Briefly explain current Development Partner coordination mechanisms for climate change; and identify key development partners active in this area.  *\*Briefly specify if the government is planning a new mechanism for development partner coordination.* | |  |
| **4.3.** Specify any common aims and shared/similar outputs among the key stakeholders. | |  |
| **4.3.** List the major NDC-related projects/programs/investments of development partners. Where relevant, identify primary providers of technical assistance and volume of assistance; and major loans or other financing received explicitly to support the country’s climate strategy within the last two years, including source, a hyperlink where possible. | |  |
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| **4.4** List any other major in-country projects/programs ready for investment relevant to the NDC (including NAMAs), a hyperlink where possible.  *\*For each, indicate whether any pipeline opportunities have emerged yet.* | |  |
| **4.5.** Describe what in-country consultations have been undertaken so far. As a minimum, this should include consultations with partner governments, NDC Partners, and others who will have a role in the delivery phase. | |  |
| **4.6.** Describe any opportunities for further engagement, including if additional consultation is necessary. If so, specify with whom and when, particularly for women’s civil society groups. | |  |

**5. Framework for the Partnership** [[1]](#footnote-2)

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| **5.1.** Summarize the government’s specific requests from the Request for Support Letter communicated to the NDC Partnership Support Unit (SU), if any. |  | | |
| **5.2.** Specify the country’s desired results/outputs vis-à-vis the NDC Partnership in the medium-term (next three years). | **Year 1** | **Year 2** | **Year 3** |
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| **5.3.** Indicate expected outputs for the short-term (upcoming quarters) and the estimated timing for development of the Partnership Plan/the next steps in the partnership process). Highlight gender equality measures where relevant.  *Insert additional rows, as needed.*  *\* Outputs include, inter alia, Workshop, PP draft, etc.* | **Q3 2018** | **Q4 2018** | **Q1 2019** |
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| **5.5** Identify which outputs/outcomes that will be visible in the next 12 months would be relevant to the Partnership Work Program targets.  *Insert additional rows, as needed.* |  | | |
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| **5.6** Identify which KPIs from the NDC Partnership M&E Framework this engagement contributes to.  \**Note gender disaggregated data where relevant or where there is opportunity to include in future NDC iterations.*  *Insert additional rows, as needed.* |  | | |
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1. This will be fleshed out in more detail within the NDC Partnership Plan, which is informed by the RSA. [↑](#footnote-ref-2)